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Express Mail Label No.: EV978766911US

Date of Deposit: January 9, 2007

Attorney Docket No. 29240-001

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IN THE UNITED STATE PATENT AND TRADEMARK OFFICE

applicant:

Robert K. Samson

Serial No.:

09/766,277

Filed:

January 19, 2001

For:

INVESTMENT GUIDANCE SYSTEM WHICH ENABLES INDIVIDUALS TO RATE AND

SELECT ASSETS BASED ON PERSONAL INVESTMENT PREFERENCES

Examiner:

Daniel S. Felten

Art Unit:

3624

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Enclosed herewith for filing in the above-identified application please find the following documents:

- 1. Response to Restriction Requirement Dated December 15, 2006 (7 pages);
- 2. Return Postcard.

Although Applicant believes no fees are due with this submission, the Commissioner is hereby authorized to charge any fee that may be due to Deposit Account No. 50-0311, Reference 29240-001.

Respectfully submitted,

Alison L. McCarthy, Esq. (Reg. No. 51,998)

Mintz, Levin, Conn, Ferris Glovsky and Popeo, P.C. One Financial Center Boston, MA 02111

Telephone: (617) 542-6000 Facsimile: (617) 542-2241

Date: January 9, 2007

Attorney for Applicant Customer No. 30623

Attorney Docket No. 29240-001

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RESPONSE TO RESTRICTION REQUIREMENT DATED DECEMBER 15, 2006

Sir:

In response to the Office Action dated December 15, 2006, please amend the application as follows and consider the following remarks.

Amendments to the claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks begin on page 7 of this paper.